

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	To be assigned
				Filing Date	Concurrently herewith
				First Named Inventor	Gao et al.
				Group Art Unit	
				Examiner Name	
Sheet	1	of	3	Attorney Docket Number	30208.240386

J1017 U.S. PTO
 10/040047
 10/29/01

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
		Number	Kind Code (if known)			
OK	1	4,465,747		Evans	08-14-84	
OK	2	4,992,342		Singh et al.	02-12-91	
OK	3	5,342,712		Mieczkowska et al.	08-30-94	
OK	4	5,429,890		Pynenburg et al.	07-04-95	
OK	5	5,443,929		Yamamoto et al.	08-22-95	
OK	6	5,478,675		Nagaura	12-26-95	
OK	7	5,919,588		Jose et al.	07-06-99	

FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office	Number	Kind Code (if known)			
OK	8	JP	7-192721	A	Sanyo Electric Co., Ltd.	07-28-95	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
OK	9	CHOBLET ET AL., Two-Phase LiCoO ₂ Oxides for Rechargeable Lithium Batteries, Electrochemical and Solid-State Letters, 4 (6) A65-A67 (2001). (APRIL)		
OK	10	C.C. LIANG, Conduction Characteristics of the Lithium Iodide-Aluminum Oxide Solid Electrolytes, Journal of the Electrochemical Society, Vol. 120, No. 10, pp. 1289-1292, (October 1973.)		

CLT01/4503912v1

Examiner Signature		Date Considered	3/5/04
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

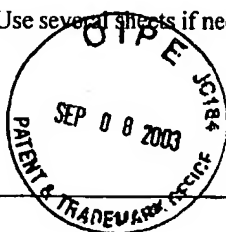
FORM PTO-1449 U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket Number
208-6164

Serial No.
10/040.047

LIST OF DOCUMENTS CITED BY APPLICANT

(Use several sheets if necessary)



Applicants:

Gao et al.

Filing Date:

October 29, 2001

Group
1741

U. S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
OK	1.	6,395,426 •	5/28/02	Imachi et al.	429	231.3	

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TC 1700

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes No
OK	2.	EP0997957B1	10/28/99	EP	H01M	4/52	X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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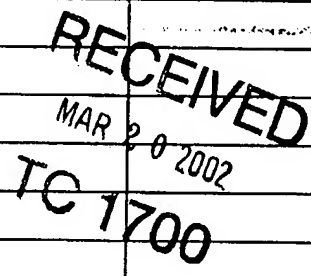
DATE CONSIDERED

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				Examiner Name	Unknown
Sheet	3	of	3	Attorney Docket Number	30208.240386

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JP	1	6,270,927		Nakane et al.	08/07/2001	



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